

JBST-27,606US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James B. Shepherd

Filed: February 27, 2004

For: PASSIVE FREESTANDING PORTABLE LIQUID FILTRATION DEVICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Express Mail No. ER038634025US

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as "Express Mail Post Office to Addressee," addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 27, 2004.

Name of Depositor: Mark W. Handley

Signature: 

Date of Signature: Feb. 27, 2007

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty to disclose all relevant material, the Applicant hereby encloses copies of references believed to be relevant to patentability, as defined by 37 CFR 1.56.


Also enclosed is PTO Form SB/08A which lists the references identified by Applicant.

Applicant requests that this information disclosure statement be considered and that a copy of the Form SB/08A be returned to the undersigned indicating the consideration by the Examiner of each document listed.

The U.S. Patent & Trademark Office is hereby authorized to charge any fees due or credit any overpayments to Deposit Account No. 502112/JBST-27,606US for the firm CHAUZA & HANDLEY, L.L.P.

Respectfully submitted,

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February 27, 2004

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	
		Filing Date	February 26, 2004
		First Named Inventor	James B. Shepherd
		Art Unit	
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	JBST-27,606US	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-403,261	05-14-1889	J.W. Funck	
	2	US- 2,177,337	10-24-1939	A. Stein	
	3	US- 5,178,450	01-12-1993	Zelensky, et al.	
	4	US- 5,307,250	04-26-1994	Pearson	
	5	US- 5,624,177	04-29-1997	Rosaia	
	6	US- 5,697,505	12-16-1997	Sprague	
	7	US- 5,743,620	04-28-1998	Rojas, et al.	
	8	US- 6,086,216	07-11-2000	Goldfarb	
	9	US- 6,174,066	01-16-2001	Vinding-Diers	
	10	US-			
	11	US-			
	12	US-			
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	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			
	20	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.